



## **Marie Skłodowska-Curie Actions COFUND | C2W**

Call 2023

### **Guide for evaluators**

May 2023 – V3



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## TABLE DES MATIÈRES

<b>1</b>	<b>Introduction .....</b>	<b>3</b>
1.1	C2W in brief.....	3
1.2	Call timeline.....	3
<b>2</b>	<b>Evaluation procedure .....</b>	<b>4</b>
2.1	Expert selection .....	4
2.2	Conflict of interest.....	4
2.3	Access to forms and documents .....	5
2.4	Evaluation criteria .....	6
2.4.1	Excellence (60%).....	6
2.4.2	Impact (25%).....	6
2.4.2	Quality and efficiency of the implementation Impact (15%).....	6
2.5	Scoring.....	7
2.6	In practice .....	7
2.7	General remarks on evaluation criteria .....	8
<b>3</b>	<b>Ethics .....</b>	<b>9</b>
<b>4</b>	<b>Contact .....</b>	<b>10</b>
<b>5</b>	<b>Personal Data Protection .....</b>	<b>11</b>
	<b>Annex 1 – Evaluator’s Code of conduct.....</b>	<b>12</b>
	<b>Annex 2 – Ethics Self Assessment .....</b>	<b>13</b>

# 1 Introduction

## 1.1 C2W in brief

C2W is an individual-driven research training and career development programme for Experienced Researchers (ERs), based on incoming mobility. C2W aims to provide ERs with the expertise and experience needed to become the next generation of leaders with strong expertise and interdisciplinary skills. **C2W will offer 30 fellowships of 24 months each.** C2W will have a bottom-up approach, which means that Fellows will be **free to choose their research topic** (provided it falls within the competence of UMONS or UNamur) and their principal supervisor (more than 400 possible Principal Investigators (PIs)). C2W also offers a free choice between UMONS and UNamur as host institution. This choice will ensure that the proposed individual project meets the Fellows' individual training needs, and thus supports their career development. To ensure the interdisciplinary nature of the research, the Fellow will be supervised by a co-PI with skills in a discipline other than that of the PI. The programme will publish 2 calls for proposals to recruit 15 Fellows each. **For this 2nd call, the breakdown will be 9 UMONS grants, 6 UNAMUR grants, due to the financial balance remaining in the programme.**

C2W will offer a competitive contract and excellent research conditions. The evaluation and selection process will follow the principles of the Charter & Code, following the OTM-R (Open, Transparent and Merit-based Recruitment) principles, and will use international peer review.

## 1.2 Call timeline



The timeline of the first call of C2W is the following:

- ⇒ Call opening: Wednesday, May 31st, 2023 at 14:00 Brussels time (UTC + 2)
- ⇒ Call closing: Tuesday, Sept. 5th 2023 at precisely 16:00 Brussels time (UTC + 2)
- ⇒ Eligibility check : 10th sept. 2023
- ⇒ Evaluation: Mid Sept. - Dec. 2023
- ⇒ Information to applicants: End Dec. 2023
- ⇒ Start of projects: March – April 2024

Fellowship duration: 24 months

## 2 Evaluation procedure



### 2.1 Expert selection

All evaluators must hold a PhD Degree (for academic ones) and must have an excellent scientific track record, as well as experience in project management and PhD/Postdoc supervision (for academic ones).

C2W will engage evaluators residing outside Belgium only and each evaluator may evaluate only one proposal from the entire C2W programme

### 2.2 Conflict of interest

All evaluators must check the *Declaration of No Conflict of Interest* on the evaluation platform. C2W will follow the definition of Conflict of Interest as described in the EU Grants Model Contract for Experts. In case of a Conflict of Interest, the evaluator may not accept to review the proposal. In cases of Potential Conflict of Interest, the evaluator must contact the Project Coordinator (PC), who shall decide whether the evaluator may evaluate the proposal. The evaluator must inform the PC immediately when a Conflict of Interest becomes apparent during the review of proposals. The PC will then decide on actions, including removal of the evaluator from the proposal or the entire evaluation process and replacement by another evaluator. Applicants also have the right to exclude a maximum of 5 evaluators (non-grata experts) from the assessment of their proposal.

#### A conflict of interest exists if an evaluator:

- was involved in the preparation of a proposal;
- is a director, trustee or partner or is in any way involved in the management of an applicant;
- is employed or contracted by one of the applicants;
- has close family ties or other close personal relationship with the applicant /PI/co-PI;
- has (or has had during the last five years) a scientific collaboration with the applicant/PI/co-PI; or has joint publications with the applicant/PI/co-PI;

- has (or has had) a relationship of scientific rivalry or professional hostility with the applicant;
- has (or has had), a mentor/mentee relationship with the applicant;
- Exceptions may be made if:
  - the evaluator works in a different department/laboratory/institute from the one where the action is to be carried out and
  - the departments/laboratories/institutes within the organization concerned operate with a high degree of autonomy.

#### **Potential Conflict of Interest exists if an evaluator:**

- employment of the evaluator by one of the applicants in the last three years;
- involvement of the evaluator in a contract, grant, prize or membership of management structures or research collaboration with an applicant or Fellow in the last three years;
- any other situation that could cast doubt on his/her ability to participate in the evaluation impartially, or that could reasonably appear to do so in the eyes of an outside third party.

### **2.3 Access to forms and documents**

The evaluator will be granted confidential access to a dedicated application platform where the evaluator can access:

- An ID part to specify his details including banking information
- A research and training project of maximum 10 pages describing the quality and pertinence of the project's research and innovation objectives, the interdisciplinary aspect of the project, the quality and appropriateness of the secondment, the appropriateness of the training and of the two-way transfer of knowledge between the researcher and the hosting group, the appropriateness of the supervision and the hosting arrangements (quality of the PI and Co-PI), the quality of the strategy for the dissemination, communication and exploitation of project results and activities, the project's contribution to the expected scientific, societal and economic impacts, the coherence, feasibility and effectiveness of the work plan, the appropriateness of management structure and procedures, including risk management and the information on ethics issues (this last one is not included in the 10 pages). A template has been provided to the candidates.
- A detailed CV, including a publication list; a template has been provided to the candidates within the template of the research project proposal.

The platform will be used to complete the evaluation form for each proposal.

As a first step, the evaluator must tick two check boxes about :

- GDPR
- Adhesion to the Code of Conduct for evaluators/Declaration of No Conflict of Interest

## 2.4 Evaluation criteria

The peer evaluators involved in the evaluation process will evaluate the eligible proposals against the criteria described hereunder and will appreciate answers to unclear points in the interview phase.

There are three main evaluation criteria, namely Excellence, Impact and Implementation that are separated into sub-criteria.

### *2.4.1 Excellence (60%)*

- Quality and credibility of the research/innovation project; appropriate consideration of gender aspects if any
- Specific focus on level of interdisciplinarity of the project (including relevance of co-PI and secondments)
- Specific focus on level of innovativeness of the project
- Quality and appropriateness of the training and of the two-way transfer of knowledge between the researcher and the host
- Quality of the PIs and co-PI, of supervision and of the integration in the team/institution (including secondments)
- Potential of the researcher to reach or re-enforce professional maturity/independence during the fellowship

### *2.4.2 Impact (25%)*

- Enhancing the future career prospects of the researcher after the fellowship
- Quality of the proposed measures to exploit and disseminate the project results
- Quality of the proposed measures to communicate the project activities to different target audiences

### *2.4.2 Quality and efficiency of the implementation Impact (15%)*

- Coherence and effectiveness of the work plan, including appropriateness of the allocation of tasks and resources
- Appropriateness of the management structure and procedures, including risk management
- Appropriateness of the institutional environment (infrastructure) and relevance of the secondment in terms of complementarity

## 2.5 Scoring

Each External Evaluator Panel (EEP) will reach a consensus score for each of the three criteria for each proposal. Following MSCA practice, this will be a score between 0 – 5 for each criterion, decimal points may be given. They are briefed to not score each sub-criterion, but to use these to help them make an assessment for the overall criterion

Scoring corresponds to the following options :

0 - Proposal fails to address criterion or cannot be assessed due to missing or incomplete information

1 - Poor. The criterion is inadequately addressed or there are serious inherent weaknesses

2 - Fair. Proposal broadly addresses the criterion, but there are significant weaknesses

3 - Good. Proposal addresses the criterion well, but several shortcomings are present

4 - Very Good. Proposal addresses criterion very well, small number of shortcomings are present

5 - Excellent. Proposal addresses all relevant aspects of the criterion. Any shortcomings are minor

A weighting percentage will be applied to the scores given for each evaluation criterion for the peer evaluation phase resulting in a weighted score. A weighted total score will be calculated based on the scores of the three individual criteria and converted into a percentage of the maximum score. To ensure overall quality of ranked (retained) proposals, a score value equal to 0 or 1 in one criterion automatically leads to the rejection of the proposal. Moreover, C2W will apply a threshold of 70% of the total score. The final score will be calculated based on the results of peer review and interview phases with a weighting of 80% for the peer review and 20% for the interview.

Peer evaluation phase			
	Excellence	Impact	Implementation
Weighting	60%	25%	15%
Priority in case of ex aequo	1	2	3

## 2.6 In practice

The C2W Team will match each proposal with 3 non-Belgian external evaluators (EEPs). The external experts will receive the complete application file together and a guide for the evaluator. They will also receive an information on ethics guidelines to help them assess whether ethical implications of the projects have been properly addressed.

This phase of the **evaluation process** will take place **remotely**. Each evaluator will review the proposal according to the evaluation and selection criteria, will give a score

for each of the 3 criteria and will write an Individual Evaluation Report (IER) which will be submitted through the online evaluation system.

Each External Evaluator Panel (EEP) will reach a consensus score for each of the three criteria for each proposal. Following MSCA practice, this will be a score between 0 – 5 for each criterion, decimal points may be given. External experts are briefed to not score each sub-criterion, but to use these to help them make an assessment for the overall criterion.

The 3 evaluators will get together in a teleconferencing consensus meeting to reach consensus on the score and comments (strengths and weaknesses of the proposal). One evaluator will be appointed as rapporteur, who will write an Evaluation Summary Report (ESR) (form can be downloaded from the evaluation system), that must reflect the comments of all evaluators. All evaluators must sign the ESR (via the online evaluation system) to show their agreement.

Applicants of ranked proposals will be invited for an **interview** to present their project (3 slides, 5 minutes) to EEP's (external evaluators). The interview will allow the external evaluators to clarify eventual unclear issues or other critical information not included in the proposal and to evaluate the ability of the applicant to present and defend its project (15 minutes). Interview will be conducted in English and remotely. Each evaluator will give a score (0-5) and the Panel reaches a consensus score for each applicant. The rapporteur will write the Interview report.

When the evaluations of the proposal attributed to you is received, you will be paid according to the data you entered when you registered (€100/evaluator and +€100 for the evaluator in charge of the reporting)

## 2.7 General remarks on evaluation criteria

- You are required to evaluate the proposal as submitted, not its potential if some changes were to be made.
- You are kindly requested to provide detailed comments to help candidates improve.
- You are requested to evaluate proposals in an impartial and consistent manner, irrespective of the origin or identity of the candidate.
- Secondment provides a specific expertise, necessary for the project and not available at the hosing group; it implies mobility for a longer period than a short research visit to collect data or to do field work. Candidates are instructed to justify the importance of the secondment and describe the expertise offered. They are also invited to describe the institution offering the secondment. You must evaluate whether the secondment is justified and whether it offers complementary expertise.



### 3 Ethics

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As an evaluator, your role is to examine whether the research programme proposed raises any ethical issues and whether they are addressed by the candidate. This will help C2W management services to examine whether formal ethics procedures should be followed for the ranked projects. This is not an evaluation criterion and you must not evaluate this aspect neither in a negative nor positive way.

Proposals where ethics issues are flagged (either by the applicant, by an external evaluator during the external peer review, or by the Evaluation Committee members), will undergo an ethics review. These proposals will be evaluated by the relevant Ethics Committee of the recruiting university.

As part of their application file, applicants were required to include an ethics-self assessment responding to questions on ethical implications of their project (see Annex 1). Candidates were required to explain what the ethical issues are and how they are planning to deal with them.

For all activities funded by the European Union, ethics is an integral part of research from beginning to end, and ethical compliance is seen as pivotal to achieve real research excellence. There is clear need to make a thorough ethical evaluation from the conceptual stage of the proposal not only to respect the legal framework but also to enhance the quality of the research. Ethical research conduct implies the application of fundamental ethical principles and legislation to scientific research in all possible domains of research. The process to assess and address the ethical dimension of activities funded under Horizon 2020 is called the Ethics Appraisal Procedure.

In addition to the scientific evaluation focusing on the scientific merit, the quality of the management and the potential impact, the Ethics Appraisal ensures that all research activities carried out under the Horizon 2020 Framework Programme are conducted in compliance with fundamental ethical principles.

The Ethics Review Procedure focusses on the compliance with ethical rules and standards, relevant European legislation, international conventions and declarations, national authorizations and ethics approvals, proportionality of the research methods and the applicants' awareness of the ethical aspects and social impact of their planned research.

## 4 Contact

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For any questions related to C2W:  
C2W Project Management  
Department of Research Administration  
Place du Parc, 22  
7000 MONS, Belgium

E-mail: [C2W@umons.ac.be](mailto:C2W@umons.ac.be)

Privileged language for communication will be English, but support can be provided in French.

## 5 Personal Data Protection

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Université de Mons (UMONS) – 20 Place du Parc, 7000 Mons is the Data Controller of the personal data collected in the context of applications to C2W. In their capacity, UMONS respects the provisions of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27/04/2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation - GDPR).

The data collected by UMONS through the dedicated area for evaluators at the C2W submission platform is solely gathered for the purposes of the evaluation procedure. The personal data include the first name, surname, e-mail address and bank information. By agreeing to evaluate C2W applications, the evaluators agree with the processing of this personal data as part of their application (lawfulness of processing : consent).

UMONS commits to taking the appropriate measures to guarantee its confidential treatment. The personnel of internal UMONS/UNamur services has access to this data only to the extent necessary for the execution of its corresponding tasks (e.g. contact with evaluators, remuneration of evaluators).

Expert's name and data's will be conserved in password-protected servers for an indeterminate duration to constitute the External Evaluator Panels (EEP's) for evaluation of the proposals and can potentially be reused for other external peer review, unless there is explicit disagreement.

UMONS's or UNamur's staff has access to this data only to the extent necessary for the execution of its corresponding tasks (e.g. submission of an application, evaluation of an application, recruitment of a candidate, conduct of the research project). Besides internal UMONS/UNamur services, the data is transmitted to external evaluators under confidentiality clauses, as part of the evaluation process.

The data is accessible to our IT subcontractor in a country outside EU, [Tech Transfer Software | Wellspring https://www.wellspring.com/privacy-policy](https://www.wellspring.com/privacy-policy)

The privacy charter is available via <https://web.umons.ac.be/app/uploads/2019/12/Charte-Vie-privée-UMONS-20190605.pdf>

Applicants can address their queries on the treatment of their Personal Data to the UMONS Data Protection Officer (DPO).

e-mail : [dpo@umons.ac.be](mailto:dpo@umons.ac.be)

UMONS, 20 Place du Parc, 7000 Mons.

## Annex 1 – Evaluator’s Code of conduct

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You commit yourself in participating at the selection procedure of the C2W -H2020-MSCA-COFUND-2020 as expert, following the rules established in the Grant Agreement No 101034383.

**Please, carefully read the code of conduct and tick the corresponding box upon connection to the evaluation platform.**

If you have any doubt, do not hesitate to contact the C2W project manager ([caroline.vliegen@umons.ac.be](mailto:caroline.vliegen@umons.ac.be))

### *Performing the work*

The experts must:

- Work independently, in a personal capacity and not on behalf of any organization.
- Evaluate each application in a confidential and fair way, in accordance with the Horizon 2020 rules.
- Perform their work to the best of their abilities, professional skills, knowledge and applying the highest ethical and moral standards.

The experts may not delegate the work to another person or be replaced by another person.

If a person or entity involved in an application approaches the expert before or during the evaluation, the expert must immediately inform the C2W project manager.

### *Impartiality*

The experts must perform their work impartially and take all measures to prevent any situation where the impartial and objective implementation of the evaluation is compromised for reasons involving economic interest, political or national affinity, family or emotional ties or any other shared interest. More precisely, the following situations will automatically be considered as conflict of interest:

- The expert was involved in the preparation of the proposal or is in any way involved in the future project of the candidate.
- Has close family ties (spouse, domestic or non-domestic partner, child, sibling, parent etc.) or other close personal relationship with the candidate or her/his PI, Co-PI.
- The expert has (or has had) a scientific collaboration with the candidate or her/his PI, Co-PI.
- The expert has (or has had) a relationship of scientific rivalry or professional hostility with the candidate or her/his PI, Co-PI.

### *Confidentiality*

During implementation of the selection process and until the 1st of March 2027, the expert must keep confidential any data, document, or other material (in any form) regarding researchers and research proposals.

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## Annex 2 – Ethics Self Assessment

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### 1. HUMAN EMBRYOS/FOETUSES

1.1 Does your research involve Human Embryonic Stem Cells (hESCs)? If Yes,

1.1.1 Are they previously established cell lines? If Yes:

- What is the origin and line of cells?
- Give details of the licensing and control measures by the competent authorities of the Member States involved

1.1.2 Does your research involve the use of human embryos? If Yes,

- What is the origin of embryos?
- Give details of the recruitment, inclusion and exclusion criteria and informed consent procedures.
- Confirm that informed consent has been obtained.

1.1.3 Does your research involve the use of human foetal tissues / cells? If Yes,

- What is the origin of human foetal tissues/cells?
- Give details of the informed consent procedures.
- Confirm that informed consent has been obtained.

### 2. HUMANS

2.1 Does your research involve physical interventions on the study participants? If Yes,

2.1.1 Does it involve invasive techniques (e.g. collection of human cells or tissues, surgical or medical interventions, invasive studies on the brain, TMS etc.)? If Yes,

- Detail risk assessment for each technique and overall.

2.1.2 Does it involve collection of biological samples? If Yes,

- What type of samples will be collected?
- What are your procedures for collecting biological samples?

2.2 Does your research involve human participants? If Yes

2.2.1 Are they volunteers for social or human sciences research? If Yes,

- Give details of the recruitment, inclusion and exclusion criteria and informed consent procedures.

2.2.2 Are they persons unable to give informed consent (including children/minors)? If Yes,

- Give details of the procedures for obtaining approval from the guardian/legal representative and the agreement of the children or other minors.
- What steps will you take to ensure that participants are not subjected to any form of coercion?

2.2.3 Are they vulnerable individuals or groups? If Yes,

- Give details of the type of vulnerability.
- Give details of the recruitment, inclusion and exclusion criteria and informed consent

procedures. These must demonstrate appropriate efforts to ensure fully informed understanding of the implications of participation.

2.2.4 Are they children/minors? If Yes,

- Give details of the age range.
- What are your assent procedures and parental consent for children and other minors?
- What steps will you take to ensure the welfare of the child or other minor?
- What justification is there for involving minors?

2.2.5 Are they patients? If Yes,

- What disease/condition/disability do they have?
- Give details of the recruitment, inclusion and exclusion criteria and informed consent procedures.
- What is your policy on incidental findings?

### 3. HUMAN CELLS / TISSUES

3.1 Does your research involve human cells or tissues (other than from Human Embryos/Foetuses)? If Yes,

3.1.1 Are they available commercially? If Yes,

- Give details of the provider (company or other).

3.1.2 Are they obtained within this project? If Yes,

- Give details of the source of the material, the amount to be collected and the procedure for collection.
  - Give details of the duration of storage and what you will do with the material at the end of the research.
  - Confirm that informed consent has been obtained.
- 3.1.3 Are they obtained from another project, laboratory or institution? If Yes,
- What is the country where the material is stored?
  - Give details of the legislation under which material is stored.
  - How long will the material be stored and what will you do with it at the end of the research project?
  - Give name of the laboratory/institution.
  - In which country the laboratory/institution is located?
  - Confirm that material is fully anonymised or that consent for secondary use has been obtained.

3.1.4 Are they obtained from a biobank? If Yes,

- What is the name of the biobank?
- In which country the biobank is located?
- Give details of the legislation under which material is stored.
- Confirm that material is fully anonymised or that consent for secondary use has been obtained.

## 4. PERSONAL DATA

4.1 Does your research involve personal data collection and/or processing? If Yes,

- Give details of the technical and organisational measures to safeguard the rights of the research participants. For instance: For organisations that must appoint a DPO under the GDPR: Involvement of the data protection officer (DPO) and disclosure of the contact details to the research participants. For all other organisations: Details of the data protection policy for the project (i.e. project-specific, not general).
- Give details of the informed consent procedures.
- Give details of the security measures to prevent unauthorised access to personal data.
- How is all of the processed data relevant and limited to the purposes of the project ('data minimisation' principle)?
- Give details of the anonymisation /pseudonymisation techniques.
- Give justification of why research data will not be anonymised/ pseudonymised (if relevant).
- Give details of the data transfers (type of data transferred and country to which it is transferred – for both EU and non-EU countries).

4.1.1 Does it involve the processing of special categories of personal data (e.g. genetic, health, sexual lifestyle, ethnicity, political opinion, religious or philosophical conviction.)? If Yes,

- Give justification for the processing of special categories of personal data.
- Why can the research objectives not be reached by processing anonymised/ pseudonymised data (if applicable)?

4.1.2 Does it involve processing of genetic, biometric or health data? If Yes,

- Confirm that you will obtain a declaration confirming compliance with the laws of the country where the data was collected.

4.1.3 Does it involve profiling, systematic monitoring of individuals or processing of large scale of special categories of data, intrusive methods of data processing (such as, tracking, surveillance, audio and video recording, geolocation tracking etc.) or any other data processing operation that may result in high risk to the rights and freedoms of the research participants? If Yes,

- Give details of the methods used for tracking, surveillance or observation of participants.
- Give details of the methods used for profiling.
- Describe risk assessment for the data processing activities.
- How will harm be prevented and the rights of the research participants safeguarded? Explain.
- Give details on the procedures for informing the research participants about profiling, and its possible consequences and the protection measures.

4.2 Does your research involve further processing of previously collected personal data (including use of pre-existing data sets or sources, merging existing data sets)? If Yes,

- Give details of the database used or of the source of the data.
- Give details of the data processing operations.
- How will the rights of the research participants be safeguarded? Explain.
- How is all of the processed data relevant and limited to the purposes of the project ('data

minimisation' principle)?

- Give justification of why the research data will not be anonymised/ pseudonymised (if relevant).

4.3 Does your research involve publicly available data? If Yes,

- Confirm that the data used in the project is publicly available and can be freely used for the project.

4.4 Is it planned to export personal data from the EU to non-EU countries? If Yes,

- Details of the types of personal data to be exported.
- How will the rights of the research participants be safeguarded?

4.5 Is it planned to import personal data from non-EU countries into the EU? If Yes,

- Details of the types of personal data to be imported.

## 5. ANIMALS

5.1 Does your research involve animals? If Yes,

- Give details of the species and rationale for their use, numbers of animals to be used, nature of the experiments, procedures and techniques to be used.
- Give justification of animal use (including the kind of animals to be used) and why alternatives cannot be used.

5.2 Are they vertebrates? If Yes,

5.2.1 Are they nonhuman primates (NHP) (e.g. monkeys, chimpanzees, gorillas, etc.)? If Yes,

- Why are NHPs the only research subjects suitable for achieving your scientific objectives?
- What is the purpose of the animal testing?
- Where do the animals come from?

5.2.2 Are they genetically modified? If Yes,

- Give details of the phenotype and any inherent suffering expected.
- What scientific justification is there for producing such animals? Give details.
- What measures will you take to minimise suffering in breeding, maintaining the colony and using the GM animals?

5.2.3 Are they cloned farm animals? If Yes,

- Give details of the phenotype and any inherent suffering expected.
- What scientific justification is there for producing such animals?
- What measures will you take to minimise suffering in breeding, maintaining the colony and using the GM animals?

5.2.4 Are they an endangered species? If Yes,

- Why is there no alternative to using this species?
- What is the purpose of the research?

## 6. THIRD COUNTRIES

6.1 In case non-EU countries are involved, do the research related activities undertaken in these



countries raise potential ethics issues? If Yes,

- Describe risk-benefit analysis.
- What activities are carried out in non-EU countries?

6.2 Do you plan to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)? If Yes,

- What type of local resources will be used and how exactly?

6.3 Do you plan to import any material from non-EU countries into the EU? If Yes,

- What type of materials will you import?
- Specify the materials and countries involved.

6.4 Do you plan to export any material from the EU to non-EU countries? If Yes,

- Give details of the type of materials to be exported.
- Specify the materials and countries involved.

6.5 Does your research involve low and/or lower middle income countries? If Yes,

6.5.1 Are any benefits-sharing actions planned? If Yes,

- Give details of the benefit sharing measures.
- Give details of the responsiveness to local research needs.
- Give details of the procedures to facilitate effective capacity building.

6.6 Could the situation in the country put the individuals taking part in the research at risk? If Yes,

- Give details of the safety measures you intend to take, including training for staff and insurance cover.

## 7. ENVIRONMENT & HEALTH and SAFETY

7.1 Does your research involve the use of elements that may cause harm to the environment, to animals or plants? If Yes,

- Describe risk-benefit analysis.
- Show how you apply the precautionary principle (if relevant).
- What safety measures will you take?

7.2 Does your research deal with endangered fauna and/or flora and/or protected areas? If Yes,

- Declare you will obtain specific authorisations (if required).

7.3 Does your research involve the use of elements that may cause harm to humans, including research staff? If Yes,

- Give details of the health and safety procedures.

## 8. DUAL USE

8.1 Does your research involve dual-use items in the sense of Regulation 428/2009, or other items for which an authorisation is required? If Yes,

- What goods and information used and produced in your research will need export licences?

- How exactly will you ensure compliance?
- How exactly will you avoid negative implications?

## **9. EXCLUSIVE FOCUS ON CIVIL APPLICATIONS**

9.1 Could your research raise concerns regarding the exclusive focus on civil applications? If Yes,

- Explain the exclusive civilian focus of your research.
- Justify inclusion of military partners or military technologies (i.e. explain how they relate to civilian applications, e.g. in the context of law enforcement activities).

## **10. MISUSE**

10.1 Does your research have the potential for misuse of research results? If Yes,

- Describe risk-assessment.
- Give details of the applicable legal requirements.
- Details of the measures to prevent misuse.

## **11. OTHER ETHICS ISSUES**

11.1 Are there any other ethics issues that should be taken into consideration? If Yes,

- Please specify.